

**INDUSTRIAL GROUP  
VIETNAM COAL-MINERAL GROUP  
MONG DUONG COAL JOINT STOCK  
COMPANY - VINACOMIN**

No: 117 /CBTT-TMD

Regarding the disclosure of management  
Report Listed company in 2024

**SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

Cam Pha, January 24, 2025

To: -State Securities Commission  
-Hanoi Stock Exchange

1. Company name: Mong Duong Coal Joint Stock Company - Vinacomin
2. Stock code: MDC
3. Head office: Mong Duong Ward; Cam Pha City; Quang Ninh Province
4. Phone number: 0203.3868.271; 0203.3868272; Fax: 0203.3868.276
5. Information disclosure person: Nguyen Thanh Son
6. Information disclosure content:  
- Report on the corporate governance situation of listed companies in 2024 of Mong Duong-Vinacomin Coal Joint Stock Company.
7. Website address for posting: Report on the corporate governance situation of listed companies in 2024 of Mong Duong-Vinacomin Coal Joint Stock Company: Mongduongcoal.vn/Shareholder relations.

We commit that the information disclosed above is true and are fully responsible before the law for the content of the disclosed information./.

**UQ. DIRECTOR  
PERSON IMPLEMENTING THE  
INFORMATION**

Recipient:

- As respectfully sent (e-copy)
- saved: VT (01), BOD (01)



**Nguyen Thanh Son**

VIETNAM NATIONAL COAL AND  
MINERAL INDUSTRIES HOLDING  
CORPORATION LIMITED  
**VINACOMIN -MONG DUONG COAL JOINT  
STOCK COMPANY**

No: 116 /BC-TMD

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom – Happiness**

*Cam Pha, 24 January 2025*

**REPORT ON CORPORATE GOVERNANCE  
(Year 2024)**

To: - The State Securities Commission;  
- The Stock Exchange.

- Name of company: **Vinacomin -Mong Duong Coal Joint Stock Company.**
- Address of headoffice: **Mong Duong Ward, Cam Pha City, Quang Ninh Province.**
- Telephone: **0203 3868 271 (272).**
- Fax: **0203 3868 276.**
- Email: **thanmongduongvnc@gmail.com.**
- Charter capital: **214.183.460.000 đồng.**
- Stock symbol: **MDC.**
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, Director.
- The implementation of internal audit: Implemented.

**I. Activities of the General Meeting of Shareholders**

\* On April 24, 2024, the company successfully held its 2024 Annual General Meeting of Shareholders.:

No.	Resolution No.	Date	Content
1	02 /NQ-ĐHĐCĐ- MDC	20/4/2024	The resolutions of the 2024 Annual General Meeting of Shareholders approved the following items: 1. Approval of the 2023 production and business results report, the 2024 production and business plan, and the proposed dividend rate for 2024. 2. Approval of the 2023 audited financial statements, audited by AASC Auditing Company Limited. 3. Approval of the 2023 profit distribution plan and the proposed 2023 dividend payout. 4. Approval of the report on remuneration, allowances, salaries, and bonuses for the Board of Directors (BOD), the Board of Supervisors, and the Company's managers in 2023, along with the plan for 2024.

No.	Resolution No.	Date	Content
			5. Approval of the 2023 activities report of the Board of Directors and the 2024 action plan. 6. Approval of the independent BOD members' activities report and evaluation of the Board of Directors' performance in 2023. 7. Approval of the Board of Supervisors's evaluation report on 2023 business performance and the audited financial statements of the company. 8. Approval of the Board of Supervisors's activities report and assessment of the performance of the Board of Directors and the Company's General Director in 2023. 9. Approval of the selection of the audit firm for the 2024 financial statements. 10. Approval of the report on contracts and transactions for 2024 with related parties under the authority of the General Meeting of Shareholders.

## II. Board of Directors (2024 report):

### 1. Information about the members of the Board of Directors term 2023-2028:

The Company's Board of Directors for Term III (2018-2023) comprised 5 members after the appointment of an independent member on October 21, 2023..

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of appointment
1	Mr. Nguyen Trong Tot	Chairman of the Board of Directors	30/6/2023	
2	Mr. Hoang Trong Hiep	Member of the Board of Directors - Director	30/6/2023	
3	Mr. Ngo Xuan Thuy	Member of the Board of Directors - Deputy Director	30/6/2023	
4	Mr. Vadym D'omin	Member of the Board of Directors	30/6/2023	
5	Mr. Pham Van Tac	Member of the Board of Directors	21/10/2023	

### 2. Meetings of the Board of Directors:

No.	Board of Director' member	Position	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Nguyen Trong Tot	Chairman of the Board of Directors	30/30	100 %	



2	Mr. Hoang Trong Hiep	Member of the Board of Directors - Director	30/30	100 %	
3	Mr. Ngo Xuan Thuy	Member of the Board of Directors - Deputy Director	30/30	100%	
4	Mr. Vadym D'omin	Member of the Board of Directors	30/30	100%	
5	Mr. Pham Van Tac	Member of the Board of Directors	30/30	100 %	

### **3. Supervising the Board of Management by the Board of Directors**

In 2024, the Company's Board of Directors (BOD) operated in compliance with the provisions of the Enterprise Law and relevant legal regulations. The BOD directed the Board of Management to review existing regulations and develop several new policies for submission to the BOD for approval and implementation, ensuring alignment with legal provisions and TKV's guidelines. In 2024, the General Director submitted to the BOD for approval various content of strengthening the company's management in financial aspect, etc. In the Company's production and business activities, the Party Committee, Trade Union, and Executive Director signed the joint resolutions to unify executive leadership in movement activities of employees and workers of the Company. Through supervisory activity, the BOD assessed that:

- The Board of Management of the Company has fully implemented the Resolutions and Decisions of the Board of Directors; the Regulations and Rules of the Vietnam National Coal - Mineral Industries Group and related legal provisions. During the task performing process, the Board of Management submitted to the Board of Directors to reorganize the organizational model and reissue regulations in accordance with State regulations.

- Regarding cost management: The Company has closely controlled the allocation and management of costs to workshops to strengthen management, strictly control the daily cost and improve the efficiency of mobilizing and utilizing machinery and equipment.

- Investment in construction: The Company has focused on key and prioritized investment projects to save costs and enhance investment efficiency. The construction investment activities of the Company have been carried out in compliance with legal regulations, guidelines from the Vietnam National Coal - Mineral Industries Group, and the Company's Investment Management Regulations.

- Security and order: The Company has developed plans and measures for self-managed security, installed cameras at multiple locations to monitor coal storage areas and mining site entries and exits. Additionally, the Company has strengthened the protection of mine boundaries, prevented illegal coal mining, processing, and transportation, increased patrols, and coordinated with local police forces to protect the Company's assets.

**\* General assessment:**

- In 2024, the Board of Management managed the Company's production and business activities and other operations in compliance with the law, the directives of TKV



Group, and the Resolutions and Decisions of the Board of Directors. As a result, the Company successfully achieved the main targets outlined in the business cooperation contract with TKV Group and fully fulfilled its obligations to contribute to the State budget.

- The production management process was flexible, with regular reports submitted to the Board of Directors on arising issues and timely resolutions for matters under the Board's authority. Consequently, all production and business activities of the Company proceeded smoothly without disruptions. The company met the key targets of its 2024 production and business plan. After making provisions and purchasing property insurance as required, the company achieved a pre-tax profit of 65 billion VND, thereby preserving and growing its capital.

**4. Activities of the Board of Directors' subcommittees: None**

**5. Resolutions/Decisions of the Board of Directors (2024 annual report):**

In 2024, the Company's Board of Directors held 30 meetings and issued 30 Resolutions, detailed in Appendix No. 01 (attached).

**III. Board of Supervisors: (2024 annual report)**

**1. Information about members of Board of Supervisors:**

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisors	Qualification
1	Ms. Nguyen Thi Tam	Head of the Board of Supervisors	24/4/2018	Bachelor of Accounting
2	Mr. Nguyen The Hanh	Member	25/4/2013	Mining economic engineer
3	Mr. Nguyen Tien Hung	Member	30/6/2023	Mining engineer

**2. Meetings of Board of Supervisors**

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Ms. Nguyen Thi Tam	4/4	100%	100%	
2	Mr. Nguyen The Hanh	4/4	100%	100%	
3	Mr. Nguyen Tien Hung	4/4	100%	100%	

**3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:**

*3.1. Activities of the Board of Supervisors:* In 2024, the Board of Supervisors held 4 meetings: one meeting to finalize the contents of the Board of Supervisors's report for submission to the 2024 Annual General Meeting of Shareholders. The subsequent meetings were conducted during the supervision periods of the company's management activities to

agree on control minutes and review the Company's activities for the quarters of 2024. All members of the Board of Supervisors attended the meetings. The Board of Supervisors carried out its functions and duties as prescribed by the Enterprise Law and the Company's Charter.

On a quarterly basis, the Board of Supervisors supervised the Company's production and business activities. After each supervision session, the Board of Supervisors issued minutes of the meeting and pointed out issues that needed to be addressed in the Company's management and operational processes, contributing to ensuring the Company's production and business activities were efficient and complied with state regulations, legal provisions, and the regulations of the Vietnam National Coal - Mineral Industries Group.

*3.2. Oversight of the Board of Directors:* The Board of Directors directed the company by issuing resolutions that provided appropriate solutions in accordance with the Company's actual situation to save costs, ensure profitable production and business operations, meet planned targets, and bring practical benefits to the Company and shareholders. The Board of Directors exercised its rights, functions, and duties as specified in the Charter of Mong Duong Coal - Vinacomin Joint Stock Company and legal regulations.

The BOD maintained regular meetings, ensured full attendance of members, and adhered to statutory voting procedures. The Board of Directors effectively performed its oversight duties over the General Director and executive management. In 2024, the BOD held 30 meetings and issued 30 resolutions primarily related to programs and plans for BOD activities, the planning and appointment/reappointment of managerial personnel. The BOD focused on improving various aspects of operations and strengthening the company's management to effectively support production and business activities..

*3.3. Oversight of the Board of Management:* The Board of Management organized and directed production and business activities effectively in accordance with its functions, duties, and authority, while complying with state laws and the Company's Charter. The Board of Management managed and directed the production and business activities of the Company, implementing financial management measures, labor restructuring, applying technical and technological innovations, and organizing production in line with technical plans to ensure effective exploitation. It also directed measures to enhance equipment productivity, contributing to the Company's successful completion of its 2024 production and business targets.

#### **4. Coordination between the Board of Supervisors and the activities of the Board of Directors, the Board of Management, and other managers:**

The coordination between the Board of Directors (BOD), the Board of Management, and the Board of Supervisors was conducted regularly and effectively. Issues identified during the supervisory process were promptly discussed and reviewed by the Board of Supervisors with the BOD and the Board of Management. Departments within the company actively collaborated and provided all necessary documents as requested by the Board of



Supervisors, contributing to the Company's continuous improvement and increased efficiency in production and business activities.

In 2024, the Board of Supervisors did not receive any complaints from shareholders holding shares in the Company regarding violations by the BOD or the Board of Management. This result was achieved not only due to the efforts of the Board of Supervisors members but also thanks to the enthusiastic and effective cooperation of the BOD members, the Board of Management, the Company's functional management departments, and the shareholders.

**5. Other activities of the Board of Supervisors: None**

**IV. Board of Management**

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment	Date of dismissal
1	Mr. Hoang Trong Hiep	14/02/1980	Master of Mining	05/2014 and 3/4/2022	
2	Mr. Nguyen Huu Hung	17/4/1973	Mining engineer	05/2018	
3	Mr. Tran Manh Ha	10/02/1979	Electromechanical engineer	9/7/2021	
4	Mr. Lai Quang Trung	15/9/1978	Master of Mining	25/7/2022	
5	Mr. Ngo Xuan Thuy	25/8/1979	Master of Mining	02/01/2023	

**V. Chief Accountant**

**1. Chief Accountant**

No.	Name	Date of birth	Qualification	Date of appointment	Date of dismissal
1	Ms. Nguyen Tuyet Mai	02/03/1988	Bachelor of Economics; Master of Economics	03/08/2024	

**VI. Training courses on corporate governance**

**1. Board of Directors members, Director, Deputy Directors:**

The members of the Board of Directors and the Board of Management actively participated in professional training and development courses organized for TKV Group officials and its subsidiaries at the TKV Group's School of Business Administration. These courses aimed to enhance and improve their leadership, management, operational, and organizational skills to better support the Company's production and business activities.

**2. Company Secretary:**

The Company Secretary, who also serves as the Corporate Governance Officer, regularly participated in specialized training courses to enhance their expertise in providing legal and governance advice to support the operations of the Board of Directors and the Board of Management. They attended skill development training sessions, corporate governance seminars, and the 2024 Annual Enterprise Conference organized by the Hanoi

Stock Exchange.

**VII. The list of affiliated persons of the public company (annual report) and transactions of affiliated persons of the Company**

**1. The list of affiliated persons of the Company:** (Details in Appendix No. 2 attached)

In 2024, the list of related parties of the Company remained unchanged.

**2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:**

(Details in Appendix No. 3 attached):

**3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power:**

(None)

**4. Transactions between the Company and other objects:**

*4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting):*

(Details in Appendix No. 3 attached)

*4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director:*

(None)

*4.3. . Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: (None)*

**VIII. Share transactions of internal persons and their affiliated persons:**

**1. Transactions of internal persons and affiliated persons with shares of the Company:**

(Details in Appendix No. 4 attached).

**2. Transactions of internal persons and affiliated persons with shares of the company: None.**

**IX. Other significant issues:**

- There are no issues to note./.

**Recipients:**

- Hanoi Stock Exchange, State Securities Commission (CBTT);
- CV room posted on Website
- BODs, BOS, Directors (e-copy);
- Note: VT, Company Secretary.

**ON BEHALF OF THE BOARD OF  
DIRECTORS  
CHAIRMAN**



**Nguyễn Trọng Tốt**



**Appendix 01: RESOLUTIONS OF THE BOARD OF DIRECTORS IN 2024.**

*(Enclosed with Report No: 116 /BC-TMD, dated 24 /01/2025 of the Board of Directors)*

No.	Resolution/Decision No.	Date	Content
1	No. 02 / NQ-HĐQT	11/1/2024	<ol style="list-style-type: none"> <li>1. Approval of the 2024 Internal Audit Plan – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>2. Approval of the 2024 Inspection and Supervision Plan – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>3. Approval of the 2024 Activity Plan and Program of the Board of Directors – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>4. Provisional approval of the 2024 Production and Business Plan; Addition of the 2024 plan for outsourcing tunneling and gallery cutting – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>5. Approval of the 2024 Exploration and Survey Plan – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>6. Approval of the 2024 Labor and Wage Plan indicators – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>7. Approval of the recruitment and training plan for underground mining technical workers and additional replacement labor for 2024 – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>8. Provisional approval of the 2024 plan for utilizing the Company’s Reward and Welfare Fund – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>9. Approval of the 2023 investment implementation results and the 2024 investment plan – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>10. Review of the report on project implementation plans and project activities of Vinacomin - Mong Duong Coal Joint Stock Company up to 2025, with a vision to 2050.</li> <li>11. Approval of the final settlement of the 2021 production investment project – Vinacomin - Mong Duong Coal Joint Stock Company (pending verification).</li> </ol>
2	No. 04/NQ-HĐQT	24/1/2024	<ol style="list-style-type: none"> <li>1. Approval of the final settlement of the 2021 Production Support Investment Project – Vinacomin - Mong Duong Coal Joint Stock Company</li> </ol>
3	No. 06 / NQ-HĐQT	6/2/2024	<ol style="list-style-type: none"> <li>1. Approval of the reappointment plan for officers whose terms have expired – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>2. Approval of the 2024 Personnel Management Regulation – Vinacomin - Mong Duong Coal Joint Stock Company (referred to the Appraisal Committee).</li> <li>3. Approval of the Exit Management Regulation – Vinacomin - Mong Duong Coal Joint Stock Company (referred to the Appraisal Committee).</li> <li>4. Approval of the settlement of remuneration and bonuses for TKV representatives at Vinacomin - Mong Duong Coal Joint Stock Company.</li> </ol>

No.	Resolution/Decision No.	Date	Content
			<p>5. Approval of the regular consumption norms for materials used in the maintenance and repair of electromechanical and transportation equipment.</p> <p>6. Approval of the material consumption norms for tunneling and longwall mining in 2024.</p> <p>7. The Board of Directors reviewed the report on the results of the meeting regarding the review and disciplinary actions for individuals involved in the serious occupational accident at factory DL4.</p>
4	No. 08 / NQ-HĐQT	28/2/2024	<p>1. The Board of Directors reviewed and provided feedback on the February 2024 production and business performance report and the tasks for March 2024.</p> <p>2. Approval of the reappointment of officers – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>3. Approval of the project implementation plans and activities of Vinacomin - Mong Duong Coal Joint Stock Company up to 2025, with a vision to 2050.</p> <p>4. Approval of the reorganization and management of houses and land in accordance with Official Document No. 490/TKV-ĐT dated January 25, 2024, from the Vietnam National Coal - Mineral Industries Group.</p> <p>5. The Board of Directors reviewed the report on synchronizing labor discipline with Party discipline for company officers and staff.</p> <p>6. Request for approval of adjustments to several key labor and wage targets in the 2023 plan of the company.</p> <p>7. Request for approval of the 2024 Personnel Management Regulation – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>8. Request for approval of the Exit Management Regulation – Vinacomin - Mong Duong Coal Joint Stock Company.</p>
5	No. 09 / NQ-HĐQT	28/2/2024	<p>1. Approval of the plan for organizing the 2024 Annual General Meeting of Shareholders – Vinacomin - Mong Duong Coal Joint Stock Company</p>
6	No. 12 / NQ-HĐQT	19/3/2024	<p>1. Approval of the investment policy and the outline-budget for the project "Investment in equipment to enhance transportation capacity" – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>2. Approval of the investment policy and the outline-budget for the project "Investment in pump chamber equipment and electrical station at -400 level" – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>3. Approval of the technical-economic feasibility study report and adjustment to shift the project "Investment in equipment to enhance mine ventilation capacity" from the reserve plan to the official plan – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>4. Approval of the technical-economic feasibility study report and adjustment to shift the project "Investment for production</p>



No.	Resolution/Decision No.	Date	Content
			<p>support in 2024" from the reserve plan to the official plan – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>5. Approval of the leadership and management personnel planning for the 2020–2025 and 2025–2030 periods (after review and supplementation).</p> <p>6. Approval of the final settlement of the 2023 wage fund of Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>7. Amendment of certain provisions in the regulation on labor management, wages, and income distribution of Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>8. Approval of the Material Management Regulation – Vinacomin - Mong Duong Coal Joint Stock Company (referred to the Appraisal Committee)</p>
7	No. 14 / NQ-HĐQT	22/3/2024	1. Approval of the Company Director's Report on Personnel Affairs.
8	No. 16 / NQ-HĐQT	02/4/2024	<p>1.The Board of Directors reviewed and approved the content of the documents for the 2024 Annual General Meeting of Shareholders.</p> <p>2.The Board of Directors reviewed and approved the 2023 Financial Supervision Report – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>3.Approval of the report on the production and business performance for March and the first quarter of 2024; and the tasks for April and the second quarter of 2024.</p> <p>4.The Board of Directors reviewed and approved the 2024 Occupational Safety and Health Regulation – Vinacomin - Mong Duong Coal Joint Stock Company (referred to the Appraisal Committee).</p> <p>5.Approval of the report proposing the investment policy and the outline-budget for preparing the feasibility study report for the project "Construction of a bath, laundry, drying facility, and auxiliary works" – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>6.Approval of the investment policy and the outline-budget for preparing the technical-economic report for the "Investment in the fire prevention system at the industrial yard" project (phase 1) – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>7.Approval of the Regulation on Grassroots Democracy at Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>8.Approval of the Material Management Regulation – Vinacomin - Mong Duong Coal Joint Stock Company.</p>
9	No. 18 / NQ-HĐQT	18/4/2024	<p>1.The Board of Directors reviewed and approved the content of the documents for the 2024 Annual General Meeting of Shareholders.</p> <p>2.The Board of Directors reviewed and approved the technical plan for exploratory drilling to support mining activities in 2024.</p>

No.	Resolution/Decision No.	Date	Content
			<p>3.The Board of Directors reviewed, approved, and adopted the Occupational Safety and Health Regulation – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>4.The Board of Directors reviewed and provided feedback on the report from the Company Director regarding the implementation of TKV management documents sent to the company and the TKV Representative at the company.</p> <p>5.Directives from the Chairman of the Board of Directors following the inspection of the production site during the first shift on April 8, 2024.</p>
10	No. 19 / NQ-HĐQT	18/4/2024	1. Approval of the Plan for Staff Reassignment and Rotation.
11	No. 21 / NQ-HĐQT	10/5/2024	<p>1. Approval of the plan for reappointment of officers whose terms have expired.</p> <p>2. The Board of Directors reviewed and approved the plan for utilizing the Company's Reward and Welfare Fund in 2024.</p> <p>3. The Board of Directors reviewed and approved the plan for the average short-term credit limit and certain financial ratios for 2024.</p> <p>4. The Board of Directors reviewed and approved the 2024 Production Support Equipment Investment Project and the adjustment to shift the project from the reserve plan to the official plan (subject to appraisal).</p> <p>5. The Board of Directors reviewed and approved the plan and budget for establishing the underground control network at the - 400 level – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>6. The Board of Directors reviewed and approved the plan and budget for monitoring underground movement – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>7. The Board of Directors reviewed and approved the plan and budget for monitoring and inspecting the geometric conditions of vertical shafts – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>8. The Board of Directors reviewed and approved the date for finalizing the list of shareholders eligible for receiving 2023 dividends and the notification for shareholders to provide and access information.</p> <p>9. Other matters under the authority of the Board of Directors:</p>
12	No. 22 / NQ-HĐQT	10/5/2024	1. Approval of the policy and plan for appointing the Chief Accountant of the Company.
13	No. 24 / NQ-HĐQT	16/5/2024	1. Approval of the project content and adjustment to shift the investment plan for 2024 to the next phase for the "2024 Production Support Investment Project" – Vinacomin - Mong Duong Coal Joint Stock Company;



No.	Resolution/Decision No.	Date	Content
14	No. 26 / NQ- HĐQT	31/5/2024	<ol style="list-style-type: none"> <li>1. Approval of the reassignment and appointment of officers.</li> <li>2. Approval of the reappointment of officers whose terms have expired.</li> <li>3. The Board of Directors reviewed the report on personnel affairs.</li> <li>4. Other matters under the authority of the Board of Directors.</li> </ol>
15	No. 27 / NQ- HĐQT	31/5/2024	<ol style="list-style-type: none"> <li>1. The plan for reviewing and supplementing the company-level management planning for the 2020–2025 and 2025–2030 periods (review conducted in 2024).</li> </ol>
16	No. 29 / NQ- HĐQT	11/6/2024	<ol style="list-style-type: none"> <li>1. Approval of the reviewed and supplemented list of company-level management personnel planning for the 2020–2025 and 2025–2030 periods (after the 2024 review and supplementation).</li> <li>2. Approval of the final settlement of the 2022 Production Support Investment Project – Vinacomin - Mong Duong Coal Joint Stock Company (referred for appraisal).</li> </ol>
17	No. 31 / NQ- HĐQT	28/6/2024	<ol style="list-style-type: none"> <li>1. Approval of the results of contractor selection for Package No. 3: Supply of the conveyor belt system B1000, feeder, rotary tippler, and cable car system for underground personnel transport under the 2023 Production Support Investment Project – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>2. Approval of the results of contractor selection for the drilling service package to support 2024 mining operations – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>3. Approval of the 2022 Production Support Project.</li> <li>4. Approval of the results of contractor selection and the report on the implementation of the plan to establish the underground control network at the -400 level – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>5. Several tasks to be implemented as directed by the Chairman of the Board of Directors and the representative of TKV's capital at the company.</li> </ol>
18	No. 32 / NQ- HĐQT	28/6/2024	<ol style="list-style-type: none"> <li>1. Approval of the Plan for Implementing the Process of Reviewing and Appointing the Chief Accountant of the Company</li> </ol>
19	No. 33 / NQ- HĐQT	28/6/2024	<ol style="list-style-type: none"> <li>1. Approval of the personnel for the appointment of the Chief Accountant of the Company.</li> </ol>
20	No. 35 / NQ- HĐQT	8/7/2024	<ol style="list-style-type: none"> <li>1. Approval of the reports: Capital Representative Report, Financial Supervision Report, and others for Q2 2024</li> </ol>
21	No. 37 / NQ- HĐQT	20/7/2024	<ol style="list-style-type: none"> <li>1. Approval of organizing overseas tours, trips, and vacations for outstanding employees who have excelled in fulfilling production and business tasks during the first five months of 2024 – Vinacomin - Mong Duong Coal Joint Stock Company</li> </ol>
22	No. 39 / NQ- HĐQT	3/8/2024	<ol style="list-style-type: none"> <li>1. Re: Appointment of the Chief Accountant of the Company;</li> </ol>
23	No. 41 / NQ- HĐQT	20/8/2024	<ol style="list-style-type: none"> <li>1. Approval of the report on additional land leasing, adjustment of land lease boundaries, and land return for areas under the company's approved planning.</li> </ol>

No.	Resolution/Decision No.	Date	Content
			2. Approval of the report on housing and land use needs – Vinacomin - Mong Duong Coal Joint Stock Company. 3. Approval of the proposal for granting permission for the TKV representative at Vinacomin - Mong Duong Coal Joint Stock Company to travel abroad. 4. Approval of the reappointment of officers. 5. Approval of the content of the Investment Project for the construction of the 35/6kV substation at Mong Duong Coal Mine – Vinacomin - Mong Duong Coal Joint Stock Company. 6. Approval of the results of contractor selection for Package No. 02: Supply of hydraulic support systems linked by chains under the 2024 Production Support Investment Project – Vinacomin - Mong Duong Coal Joint Stock Company. 7. Approval of the adjustment to the 2024 Investment Plan – Vinacomin - Mong Duong Coal Joint Stock Company.
24	No. 43 / NQ-HĐQT	04/9/2024	1. Approval of the results of contractor selection for Package No. 03: Supply of transportation equipment under the 2024 Production Support Investment Project – Vinacomin - Mong Duong Coal Joint Stock Company. 2. Approval of adjustments to land leasing, additional land leasing, and land return for areas under the company’s approved projects – Vinacomin - Mong Duong Coal Joint Stock Company. 3. The Board of Directors reviewed reports on the following: production and business performance in August and the implementation of tasks for September 2024. 4. Other matters under the authority of the Board of Directors.
25	No. 45 / NQ-HĐQT	20/9/2024	1. Approval of the Plan for Reappointment of Officers Whose Terms Have Expired at Vinacomin - Mong Duong Coal Joint Stock Company.
26	No. 47 / NQ-HĐQT	26/9/2024	1. The Board of Directors reviewed and approved the plan for the reassignment and rotation of officers. 2. The Board of Directors approved the Financial Supervision and Performance Evaluation Regulation for Vinacomin - Mong Duong Coal Joint Stock Company. 3. The Board of Directors reviewed and provided feedback on the report regarding the results of prevention and response efforts for Typhoon No. 3 in 2024. 4. The Board of Directors reviewed reports on the following: production and business performance in September and the implementation of tasks for October 2024. 5. Approval of the investment and development plan for the 2026–2030 period for Vinacomin - Mong Duong Coal Joint Stock Company. 6. Implementation of directives from the Capital Representative at the company regarding management activities.
27	No. 50 / NQ-HĐQT	01/10/2024	1. The Board of Directors reviewed the matter of reassignment and appointment of officers. 2. The Board of Directors reviewed the matter of reappointment of officers. 3. Other matters under the authority of the Board of Directors;



No.	Resolution/Decision No.	Date	Content
28	No. 55/NQ-HĐQT	8/11/2024	<ol style="list-style-type: none"> <li>1. The Board of Directors reviewed the report on production and business results for October 2024, the tasks for November 2024, and the report on the implementation of management documents from TKV sent to the Capital Representative and the Company.</li> <li>2. The Board of Directors reviewed and approved the 2025 outsourcing plan.</li> <li>3. The Board of Directors reviewed and approved the plan for concreting underground CBSX roads as part of the 2024 plan – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>4. The Board of Directors reviewed and approved the construction drawing design for the 35/6 kV substation investment project at Mong Duong Mine – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>5. The Board of Directors reviewed and approved the issuance of the Investment and Construction Management Regulation for Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>6. The Board of Directors reviewed the proposal for 2023 bonuses for managers at Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>7. The Board of Directors reviewed and approved the wage scale and payroll system and the transition to new salary scales and payrolls for management members of Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>8. The Board of Directors reviewed and approved the plan for appointing the Head of the Underground Transport Workshop 2 and the Deputy Head of the Accounting, Statistics, and Finance Department.</li> <li>9. The Board of Directors reviewed and approved the Financial Supervision Regulation.</li> </ol>
29	No. 57/NQ-HĐQT	4/12/2024	<ol style="list-style-type: none"> <li>1. The Board of Directors reviewed and approved the policy and plan for appointing the Head of the Underground Transport Workshop 2 (PX.VTL2).</li> <li>2. The Board of Directors reviewed and approved the Investment and Construction Regulation – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>3. The Board of Directors reviewed and approved the adjusted 2024 Investment Plan – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>4. The Board of Directors reviewed and approved the 2025 Investment Plan – Vinacomin - Mong Duong Coal Joint Stock Company.</li> <li>5. The Board of Directors reviewed the report on production and business results for November 2024, the tasks for December 2024, and the report on the implementation of management documents from TKV sent to the Capital Representative and the Company.</li> </ol>
30	No. 59/NQ-HĐQT	27/12/2024	<ol style="list-style-type: none"> <li>1. The Board of Directors reviewed and approved the 2025 Exploration and Survey Plan.</li> </ol>

No.	Resolution/Decision No.	Date	Content
			<p>2. The Board of Directors reviewed and provided feedback on the cost for geological engineering surveys at the industrial yard in the Mong Duong Central Area.</p> <p>3. The Board of Directors reviewed and approved the plan for the reappointment of officers.</p> <p>4. The Board of Directors reviewed the report on production and business results for December 2024 and the tasks for January 2025.</p> <p>5. The Board of Directors reviewed and approved adjustments to certain key targets in the 2024 production and business plan.</p> <p>6. The Board of Directors reviewed and provisionally approved the 2025 Business Plan.</p> <p>7. The Board of Directors reviewed and approved the 2025 Internal Audit Plan.</p> <p>8. The Board of Directors reviewed and approved the 2025 Inspection and Supervision Plan – Vinacomin - Mong Duong Coal Joint Stock Company.</p> <p>9. The Board of Directors reviewed and approved the report on BOD activities and the implementation of the 2024 BOD Resolutions, as well as the BOD activity plan for 2025.</p> <p>10. Implementation of planning activities and reporting on the performance of the Capital Representative and TKV Representative serving on the company’s Board of Directors.</p>



**Appendix No. 02**  
**LIST OF RELATED PERSONS OF THE COMPANY**  
*(Enclosed with Report No. 116/BC-TMD, dated 24/01/2025 of the Board of Directors)*

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
1.	Vu Thi Xam							Affiliated person of Mr. Nguyen Trong Tot - Mother
2.	Bui Thi Hoa							Affiliated person of Mr. Nguyen Trong Tot - Wife
3.	Nguyen Mai Quynh							Affiliated person of Mr. Nguyen Trong Tot – Daughter
4.	Nguyen Dinh Tin							Affiliated person of Mr. Nguyen Trong Tot - Son-In-Law
5.	Nguyen Quynh Trang							Affiliated person of Mr. Nguyen Trong Tot - Daughter
6.	Nguyen Thi Chien							Affiliated person of Mr. Nguyen Trong Tot – Sister

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
7.	Nguyen Cong Thuan							Affiliated person of Mr. Nguyen Trong Tot - Brother-In-Law
8.	Nguyen Thi Huyen							Affiliated person of Mr. Nguyen Trong Tot – Sister
9.	Dinh Van Mien							Affiliated person of Mr. Nguyen Trong Tot – Brother-In-Law
10.	Bui Cong Hao							Affiliated person of Mr. Nguyen Trong Tot – Father-In-Law
11.	Dinh Thi Nguyen							Affiliated person of Mr. Nguyen Trong Tot – Mother-In-Law
12.	Hoang Trong Hai							Affiliated person of Mr. Hoang Trong Hiep - Father
13.	Nguyen Thi Huyen							Affiliated person of Mr. Hoang Trong Hiep - Mother
14.	Nguyen Thi Huyen							Affiliated person of Mr. Hoang



TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
								Trong Hiep - Wife
15.	Hoang Trong Khoa							Affiliated person of Mr. Hoang Trong Hiep - Child
16.	Hoang Kieu Ly							Affiliated person of Mr. Hoang Trong Hiep - Child
17.	Hoang Trong Hung							Affiliated person of Mr. Hoang Trong Hiep - Younger Brother
18.	Pham Thi Duyen							Affiliated person of Mr. Hoang Trong Hiep - Sister-In-Law
19.	Vu Thi Tham							Affiliated person of Mr. Hoang Trong Hiep - Mother-In-Law
20.	Nguyen Ngoc Thanh							Affiliated person of Mr. Hoang Trong Hiep - Brother-In-Law

<b>TT</b>	<b>Name of organization/individual</b>	<b>Securities trading account (if any)</b>	<b>Position at the company (if any)</b>	<b>NSH no.</b>	<b>Address</b>	<b>Time of starting to be affiliated person</b>	<b>Time of ending to be affiliated person</b>	<b>Relationship With The Company</b>
21.	Dinh Thi Hong							Affiliated person of Mr. Ngo Xuan Thuy – Wife
22.	Ngo Khanh Huyen							Affiliated person of Mr. Ngo Xuan Thuy – Child
23.	Ngo Khanh Chi							Affiliated person of Mr. Ngo Xuan Thuy – Child
24.	Ngo Xuan Huong							Affiliated person of Mr. Ngo Xuan Thuy – Father
25.	Pham Thi Hanh							Affiliated person of Mr. Ngo Xuan Thuy – Mother
26.	Cu Thi Hieu							Affiliated person of Mr. Ngo Xuan Thuy – Mother-In-Law
27.	Ngo Thi Kim Chung							Affiliated person of Mr. Ngo Xuan Thuy - Younger Brother
28.	Bui Van Huong							Affiliated person of Mr. Ngo Xuan Thuy - Brother-In-Law
29.	Ngo Xuan Tinh							Affiliated person of Mr. Ngo Xuan Thuy - Younger Brother



TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
30.	Nguyen Thi Dieu Ly							Affiliated person of Mr. Ngo Xuan Thuy - Sister-In-Law
31.	Nguyen Thi To							Affiliated person of Mr. Pham Van Tac - Biological Mother
32.	Luong Thi Yen							Affiliated person of Mr. Pham Van Tac - Wife
33.	Pham Huyen Trang							Affiliated person of Mr. Pham Van Tac - Child
34.	Pham Nhat Tam Long							Affiliated person of Mr. Pham Van Tac - Child
35.	Nguyen Manh Cuong							Affiliated person of Mr. Pham Van Tac - Son-In-Law
36.	Pham Thi Lan							Affiliated person of Mr. Pham Van Tac - Younger Brother
37.	Pham Van Huu							Affiliated person of Mr. Pham Van Tac - Younger Brother
38.	Pham Thi Nhieu							Affiliated person of Mr. Pham Van

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
								Tac - Younger Brother
39.	Pham Thi Mao							Affiliated person of Mr. Pham Van Tac - Younger Brother
40.	Dao Thi Diep							Affiliated person of Mr. Pham Van Tac Sister-In-Law
41.	Nguyen Cong Thao							Affiliated person of Mr. Pham Van Tac - Brother-In-Law
42.	Dang Van Hung							Affiliated person of Mr. Pham Van Tac - Brother-In-Law
43.	Tran Quoc Chien							Affiliated person of Ms. Nguyen Thi Tam – Husband
44.	Tran Quoc Cuong							Affiliated person of Ms. Nguyen Thi Tam - Child
45.	Tran Hanh Huyen							Affiliated person of Ms. Nguyen Thi Tam - Child
46.	Nguyen Quang Long							Affiliated person of Ms. Nguyen Thi Tam - Father



<b>TT</b>	<b>Name of organization/individual</b>	<b>Securities trading account (if any)</b>	<b>Position at the company (if any)</b>	<b>NSH no.</b>	<b>Address</b>	<b>Time of starting to be affiliated person</b>	<b>Time of ending to be affiliated person</b>	<b>Relationship With The Company</b>
47.	Hoang Thi Mang							Affiliated person of Ms. Nguyen Thi Tam - Mother
48.	Nguyen Quang Khuong							Affiliated person of Ms. Nguyen Thi Tam - Brother
49.	Nguyen Quang Hung							Affiliated person of Ms. Nguyen Thi Tam - Brother
50.	Nguyen Quang Mai							Affiliated person of Ms. Nguyen Thi Tam - Brother
51.	Nguyen Quang Vinh							Affiliated person of Ms. Nguyen Thi Tam - Brother
52.	Nguyen Thi Thanh							Affiliated person of Ms. Nguyen Thi Tam - Sister
53.	Nguyen Thu Trang							Affiliated person of Ms. Nguyen Thi Tam - Daughter-In-Law
54.	Nguyen Van Nhai							Affiliated person of Mr. Nguyen The Hanh - Father
55.	Bui Thi Bong							Affiliated person of Mr. Nguyen The Hanh - Mother

<b>TT</b>	<b>Name of organization/individual</b>	<b>Securities trading account (if any)</b>	<b>Position at the company (if any)</b>	<b>NSH no.</b>	<b>Address</b>	<b>Time of starting to be affiliated person</b>	<b>Time of ending to be affiliated person</b>	<b>Relationship With The Company</b>
56.	Pham Thi Hien							Affiliated person of Mr. Nguyen The Hanh - Wife
57.	Nguyen Van Anh							Affiliated person of Mr. Nguyen The Hanh - Child
58.	Nguyen Thanh Tung							Affiliated person of Mr. Nguyen The Hanh - Child
59.	Nguyen Thi Thuy							Affiliated person of Mr. Nguyen The Hanh - Sister
60.	Nguyen Van Hon							Affiliated person of Mr. Nguyen The Hanh - Brother
61.	Nguyen Thi Thuy							Affiliated person of Mr. Nguyen The Hanh - Younger Brother
62.	Nguyen Thi Thu							Affiliated person of Mr. Nguyen The Hanh - Sister-In-Law
63.	Nguyen Xuan Trinh							Affiliated person of Mr. Nguyen The Hanh - Brother-In-Law
64.	Dao Duy Khuong							Affiliated person of Mr. Nguyen



TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
								The Hanh - Brother-In-Law
65.	Nguyen Thi Tuyet							Affiliated person of Mr. Nguyen The Hanh - Mother-In-Law
66.	Nguyen Van Cuong							Affiliated person of Mr. Nguyen Tien Hung - Father
67.	Pham Thi Mien							Affiliated person of Mr. Nguyen Tien Hung - Mother
68.	Dong Thi Ha Giang							Affiliated person of Mr. Nguyen Tien Hung - Wife
69.	Nguyen Dong Khanh Linh							Affiliated person of Mr. Nguyen Tien Hung - Child
70.	Nguyen Tien Dung							Affiliated person of Mr. Nguyen Tien Hung - Brother
71.	Ta Thi Thu Hien							Affiliated person of Mr. Nguyen Tien Hung - Sister-In-Law
72.	Dong Duy Pha							Affiliated person of Mr. Nguyen

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
								Tien Hung - Father-In-Law
73.	Khoa Thi Tram							Affiliated person of Mr. Nguyen Tien Hung - Mother-In-Law
74.	Dong Thi Lan Huong							Affiliated person of Mr. Nguyen Tien Hung - Sister-In-Law
75.	Thai Thi Thanh							Affiliated person of Mr. Nguyen Huu Hung – Mother
76.	Duong Hai Yen							Affiliated person of Mr. Nguyen Huu Hung – Wife
77.	Nguyen Phuong Mai							Affiliated person of Mr. Nguyen Huu Hung - Child
78.	Nguyen Huu Tuan Hung							Affiliated person of Mr. Nguyen Huu Hung - Child
79.	Nguyen Thi Hue							Affiliated person of Mr. Nguyen Huu Hung - Younger Brother
80.	Nguyen Huu Minh							Affiliated person of Mr. Nguyen Huu Hung - Younger Brother



TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
81.	Hoang Thi Hong							Affiliated person of Mr. Nguyen Huu Hung – Mother-In-Law
82.	Duong Hai Van							Affiliated person of Mr. Nguyen Huu Hung - Brother-In-Law
83.	Duong Khanh Ha							Affiliated person of Mr. Nguyen Huu Hung - Brother-In-Law
84.	Vu Thi Lien							Affiliated person of Mr. Tran Manh Ha – Mother
85.	Le Thi Yen							Affiliated person of Mr. Tran Manh Ha – Wife
86.	Tran Truong Giang							Affiliated person of Mr. Tran Manh Ha – Child
87.	Tran Huu Dat							Affiliated person of Mr. Tran Manh Ha – Child
88.	Tran Thi Mai Thanh							Affiliated person of Mr. Tran Manh Ha – Younger Brother
89.	Nguyen Thi Chua							Affiliated person of Mr. Tran Manh

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
								Ha – Mother-In-Law
90.	Le Dong							Affiliated person of Mr. Tran Manh Ha - Brother-In-Law
91.	Le Phu Dung							Affiliated person of Mr. Tran Manh Ha – Brother-In-Law
92.	Ngo Doan Mui							Affiliated person of Mr. Tran Manh Ha – Brother-In-Law
93.	Pham Thanh Quynh							Affiliated person of Mr. Lai Quang Trung – Wife
94.	Lai Quang Duong							Affiliated person of Mr. Lai Quang Trung – Child
95.	Lai Anh Thu							Affiliated person of Mr. Lai Quang Trung – Child
96.	Lai Quang Tuan							Affiliated person of Mr. Lai Quang Trung – Father
97.	Ha Thi Ben							Affiliated person of Mr. Lai Quang Trung – Mother



TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
98.	Lai Quang Cuong							Affiliated person of Mr. Lai Quang Trung - Brother
99.	Lai Quang Dung							Affiliated person of Mr. Lai Quang Trung – Younger Brother
100.	Lai Thi Yen							Affiliated person of Mr. Lai Quang Trung – Younger Brother
101.	Lai Quang Phu							Affiliated person of Mr. Lai Quang Trung – Younger Brother
102.	Pham Thanh Trang							Affiliated person of Mr. Lai Quang Trung – Father-In-Law
103.	Pham Thi Minh							Affiliated person of Mr. Lai Quang Trung – Mother-In-Law
104.	Pham Thanh Hai							Affiliated person of Mr. Lai Quang Trung – Sister-In-Law
105.	Le The Anh							Affiliated person of Ms. Nguyen

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
								Tuyet Mai - Husband
106.	Le Binh Minh							Affiliated person of Ms. Nguyen Tuyet Mai - Child
107.	Le Lam Phuc							Affiliated person of Ms. Nguyen Tuyet Mai - Child
108.	Nguyen Van Sang							Affiliated person of Ms. Nguyen Tuyet Mai - Father
109.	Nguyen Thi Than							Affiliated person of Ms. Nguyen Tuyet Mai - Mother
110.	Le Van Loi							Affiliated person of Ms. Nguyen Tuyet Mai - Father-In-Law
111.	Nguyen Thi Thuy							Affiliated person of Ms. Nguyen Tuyet Mai - Mother-In-Law
112.	Nguyen Thi Thu Huong							Affiliated person of Ms. Nguyen Tuyet Mai - Sister
113.	Nguyen Viet Chien							Affiliated person of Ms. Nguyen Tuyet Mai - Brother-In-Law



TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
114.	Nguyen Thi Hong							Affiliated person of Ms. Nguyen Tuyet Mai - Younger Sister
115.	Le Viet Hai							Affiliated person of Ms. Nguyen Tuyet Mai - Brother-In-Law
116.	Nguyen Van Nuoi							Affiliated person of Ms. Nguyen Tuyet Mai - Younger Sister
117.	Pham Thi Cam Nhung							Affiliated person of Ms. Nguyen Tuyet Mai - Sister-In-Law
118.	Le Hoan							Affiliated person of Ms. Nguyen Tuyet Mai - Brother-In-Law
119.	Tran Thi Vinh Huong							Affiliated person of Ms. Nguyen Tuyet Mai - Sister-In-Law
120.	Nguyen Thi Tru							Affiliated person of Mr. Nguyen Thanh Son - Mother

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH no.	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship With The Company
121.	Vu Thanh Minh							Affiliated person of Mr. Nguyen Thanh Son - Wife
122.	Nguyen Khanh Thi							Affiliated person of Mr. Nguyen Thanh Son - Child
123.	Nguyen Phuc Vinh							Affiliated person of Mr. Nguyen Thanh Son - Child
124.	Nguyen Thi Thu Thuy							Affiliated person of Mr. Nguyen Thanh Son - Sister
125.	Pham Van Viet							Affiliated person of Mr. Nguyen Thanh Son - Brother-In-Law
126.	Vu Van Thuyet							Affiliated person of Mr. Nguyen Thanh Son - Father-In-Law
127.	Nguyen Thi Nga							Affiliated person of Mr. Nguyen Thanh Son - Mother-In-Law

**Appendix No. 03**  
**TRANSACTIONS BETWEEN THE COMPANY AND MAJOR SHAREHOLDERS &**



**TRANSACTIONS BETWEEN THE COMPANY AND THE COMPANY IN WHICH THE BOARD OF DIRECTORS AND BOARD OF SUPERVISORS MEMBERS ARE BEING A MEMBER OF THE BOARD OF DIRECTORS AND BOARD OF SUPERVISORS IN THE PAST 3 YEARS**

*(Issued with Report No 116/BC-TMD, dated 24/01/2025 of the Board of Directors)*

No	Name of organization/individual	Relationship with the company	NSH No.*, date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors	Content, Quantity, Total Transaction Value in 2024	Note
1	Vietnam National Coal and Mineral Industries Group (TKV). Including subsidiaries under the Group such as: - Vinacomin – Cua Ong Coal Preparation Company; - Vinacomin – Cam Pha Port and Logistics Company; - Vinacomin Environment Company Limited).	Major shareholder	Business code: 5700100256; October 21, 2014 Department of Planning and Investment Hanoi	No. 3, Duong Dinh Nghe, Yen Hoa, Cau Giay, Hanoi city	From 2024 until further changes		A principle-based contract does not specify a concrete value. For contracts/transactions with a specific value, the agreed value must be less than 35% of the total asset value of the Company as reported in the most recent financial statement	TKV holds 65% of the Company's charter capital.
2	Vinacomin - Vang Danh Coal Joint Stock Company	Have the same Chairman of the Board of Directors	Business code: 5700101877; April 3, 2007; Department of Planning and Investment of Quang Ninh	185 Nguyen Van Cu, Vang Danh Ward, Uong Bi Town, Quang Ninh Province	From 2024 until further changes		No transaction has occurred	

No	Name of organization/individual	Relationship with the company	NSH No.*, date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors	Content, Quantity, Total Transaction Value in 2024	Note
3	Vinacomin - Ha Lam Coal Joint Stock Company	Have the same Chairman of the Board of Directors	Business code: 5700101637; Department of Planning and Investment Hanoi	No. 1 Tan Lap - Ha Lam Ward - Ha Long City - Quang Ninh City	From 2024 until further changes		No transaction has occurred	
4	Vinacomin - Deo Nai Coal Joint Stock Company (Deo Nai – Coc Sau – TKV coal joint stock company)	Have the same Chairman of the Board of Directors	Business code: 5702162138; Department of Planning and Investment Quang Ninh	Cam Tay Ward - Cam Pha City - Quang Ninh Province	From 2024 until further changes		No transaction has occurred	
5	Vinacomin - Ta Phoi Copper Joint Stock Company	Have the same Head of Board of Supervisors	Business code: 530025299 Department of Planning and Investment Lao Cai	Bac Cuong Ward, Lao Cai City, Lao Cai Province, Vietnam	From 2024 until further changes		No transaction has occurred	
6	Vinacomin - Mining Mechanical and Shipbuilding Joint Stock Company	Have the same members of the Board of Supervisors	Business code: 5700479764; Department of Planning and Investment Quang Ninh	Bai Chay Ward, Ha Long City, Quang Ninh Province	From 2021 until further changes		No transaction has occurred	



**Appendix No. 4:**  
**THE LIST OF INTERNAL PERSONS AND AFFILIATED PERSONS OF INTERNAL PERSONS**  
*(Issued with Report No 116 /BC-TMD, dated 24/01/2025 of the Board of Directors)*

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH No., date of issue, place of issue	NSH.No	Date	Place of issue	Address	Number of shares owned at the present time	Share ownership ratio at the present time	Start time is internal person/person related to internal person	Note
1	Nguyen Trong Tot											Internal
1.1	Vu Thi Xam											Affiliated Person To Mr. Nguyen Trong Tot - Mother
1.2	Bui Thi Hoa											Affiliated Person To Mr. Nguyen Trong Tot - Wife
1.3	Nguyen Mai Quynh											Affiliated Person To Mr. Nguyen Trong Tot - Daughter
1.4	Nguyen Dinh Tin											Affiliated Person To Mr. Nguyen Trong Tot - Son-In-Law
1.5	Nguyen Quynh Trang											Affiliated Person To Mr. Nguyen Trong Tot - Daughter
1.6	Nguyen Thi Chien											Affiliated Person To Mr. Nguyen Trong Tot - Sister
1.7	Nguyen Cong Thuan											Affiliated Person To Mr. Nguyen Trong Tot - Brother-In-Law
1.8	Nguyen Thi Huyen											Affiliated Person To Mr. Nguyen Trong Tot - Sister

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH No., date of issue, place of issue	NSH.No	Date	Place of issue	Address	Number of shares owned at the present time	Share ownership ratio at the present time	Start time is internal person/person related to internal person	Note
1.9	Dinh Van Mien											Affiliated Person To Mr. Nguyen Trong Tot - Brother-In-Law
1.10	Bui Cong Hao											Affiliated Person To Mr. Nguyen Trong Tot - Father-In-Law
1.11	Dinh Thi Nguyen											Affiliated Person To Mr. Nguyen Trong Tot - Mother-In-Law
2	Hoang Trong Hiep	C667039										Internal
2.1	Hoang Trong Hai											Affiliated Person To Mr. Hoang Trong Hiep - Father
2.2	Nguyen Thi Huyen											Affiliated Person To Mr. Hoang Trong Hiep - Mother
2.3	Nguyen Thi Huyen											Affiliated Person To Mr. Hoang Trong Hiep - Wife

TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH No., date of issue, place of issue	NSH.No	Date	Place of issue	Address	Number of shares owned at the present time	Share ownership ratio at the present time	Start time is internal person/person related to internal person	Note
2.4	Hoang Trong Khoa											Affiliated Person To Mr. Hoang Trong Hiep - Child
2.5	Hoang Kieu Ly											Affiliated Person To Mr. Hoang Trong Hiep - Child
2.6	Hoang Trong Hung											Affiliated Person To Mr. Hoang Trong Hiep - Younger Brother
2.7	Pham Thi Duyen											Affiliated Person To Mr. Hoang Trong Hiep - Sister-In-Law
2.8	Vu Thi Tham											Affiliated Person To Mr. Hoang Trong Hiep - Mother-In-Law
2.9	Nguyen Ngoc Thanh											Affiliated Person To Mr. Hoang Trong Hiep - Brother-In-Law
3	Ngo Xuan Thuy											Internal
3.1	Dinh Thi Hong											Affiliated Person To Mr. Ngo Xuan Thuy - Wife
3.2	Ngo Khanh Huyen											Affiliated Person To Mr. Ngo Xuan Thuy - Child





TT	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH No., date of issue, place of issue	NSH.No	Date	Place of issue	Address	Number of shares owned at the present time	Share ownership ratio at the present time	Start time is internal person/person related to internal person	Note
5.1	Nguyen Thi To											Affiliated Person To Mr. Pham Van Tac, Biological Mother
5.2	Luong Thi Yen											Affiliated Person To Mr. Pham Van Tac Wife
5.3	Pham Huyen Trang											Affiliated Person To Mr. Pham Van Tac, Child
5.4	Pham Nhat Tam Long											Affiliated Person To Mr. Pham Van Tac, Child
5.5	Nguyen Manh Cuong											Affiliated Person To Mr. Pham Van Tac Son-In-Law
5.6	Pham Thi Lan											Affiliated Person To Mr. Pham Van Tac, Younger Brother
5.7	Pham Van Huu											Affiliated Person To Mr. Pham Van Tac, Younger Brother
5.8	Pham Thi Nhieu											Affiliated Person To Mr. Pham Van Tac, Younger Brother
5.9	Pham Thi Mao											Affiliated Person To Mr. Pham Van Tac, Younger Brother
5.10	Dao Thi Diep											Affiliated Person To Mr. Pham Van Tac Sister-In-Law
5.11	Nguyen Cong Thao											Affiliated Person To Mr. Pham Van Tac Brother-In-Law

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5.12	Dang Van Hung											Affiliated Person To Mr. Pham Van Tac Brother-In-Law
6	Nguyen Thi Tam											Internal
6.1	Tran Quoc Chien											Affiliated Person To Ms. Nguyen Thi Tam - Husband
6.2	Tran Quoc Cuong											Affiliated Person To Ms. Nguyen Thi Tam - Child
6.3	Tran Hanh Huyen											Affiliated Person To Ms. Nguyen Thi Tam - Child
6.4	Nguyen Quang Long											Affiliated Person To Ms. Nguyen Thi Tam - Father
6.5	Hoang Thi Mang											Affiliated Person To Ms. Nguyen Thi Tam - Biological Mother
6.6	Nguyen Quang Khuong											Affiliated Person To Ms. Nguyen Thi Tam - Brother
6.7	Nguyen Quang Hung											Affiliated Person To Ms. Nguyen Thi Tam - Brother
6.8	Nguyen Quang Mai											Affiliated Person To Ms. Nguyen Thi Tam - Brother
6.9	Nguyen Quang Vinh											Affiliated Person To Ms. Nguyen Thi Tam - Brother
6.10	Nguyen Thi Thanh											Affiliated Person To Ms. Nguyen Thi Tam - Sister



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6.11	Nguyen Thu Trang											Affiliated Person To Ms. Nguyen Thi Tam - Daughter-In-Law
7	Nguyen The Hanh											Internal
7.1	Nguyen Van Nhai											Affiliated Person To Mr. Nguyen The Hanh - Father
7.2	Bui Thi Bong											Affiliated Person To Mr. Nguyen The Hanh - Mother
7.3	Pham Thi Hien											Affiliated Person To Mr. Nguyen The Hanh - Wife
7.4	Nguyen Van Anh											Affiliated Person To Mr. Nguyen The Hanh - Child
7.5	Nguyen Thanh Tung											Affiliated Person To Mr. Nguyen The Hanh - Child
7.6	Nguyen Thi Thuy											Affiliated Person To Mr. Nguyen The Hanh - Sister

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7.7	Nguyen Van Hon											Affiliated Person To Mr. Nguyen The Hanh - Brother
7.8	Nguyen Thi Thuy											Affiliated Person To Mr. Nguyen The Hanh - Younger Brother
7.9	Nguyen Thi Thu											Affiliated Person To Mr. Nguyen The Hanh - Sister-In-Law
7.10	Nguyen Xuan Trinh											Affiliated Person To Mr. Nguyen The Hanh - Brother-In-Law
7.11	Dao Duy Khuong											Affiliated Person To Mr. Nguyen The Hanh - Brother-In-Law
7.12	Nguyen Thi Tuyet											Affiliated Person To Mr. Nguyen The Hanh - Mother-In-Law
8	Nguyen Tien Hung											Internal
8.1	Nguyen Van Cuong											Affiliated Person To Mr. Nguyen Tien Hung, Father

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8.2	Pham Thi Mien											Affiliated Person To Mr. Nguyen Tien Hung, Mother
8.3	Dong Thi Ha Giang											Affiliated Person To Mr. Nguyen Tien Hung Vo
8.4	Nguyen Dong Khanh Linh											Affiliated Person To Mr. Nguyen Tien Hung, Child
8.5	Nguyen Tien Dung											Affiliated Person To Mr. Nguyen Tien Hung Brother
8.6	Ta Thi Thu Hien											Affiliated Person To Mr. Nguyen Tien Hung Sister-In-Law
8.7	Dong Duy Pha											Affiliated Person To Mr. Nguyen Tien Hung Father-In-Law
8.8	Khoa Thi Tram											Affiliated Person To Mr. Nguyen Tien Hung Mother-In-Law
8.9	Dong Thi Lan Huong											Affiliated Person To Mr. Nguyen Tien Hung Sister-In-Law



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9	Nguyen Huu Hung	C667223										Internal
9.1	Thai Thi Thanh											Affiliated Person To Mr. Nguyen Huu Hung - Biological Mother
9.2	Duong Hai Yen											Affiliated Person To Mr. Nguyen Huu Hung - Wife
9.3	Nguyen Phuong Mai											Affiliated Person To Mr. Nguyen Huu Hung - Child
9.4	Nguyen Huu Tuan Hung											Affiliated Person To Mr. Nguyen Huu Hung - Child
9.5	Nguyen Thi Hue											Affiliated Person To Mr. Nguyen Huu Hung - Younger Brother
9.6	Nguyen Huu Minh											Affiliated Person To Mr. Nguyen Huu Hung - Younger Brother
9.7	Hoang Thi Hong											Affiliated Person To Mr. Nguyen Huu Hung - Mother-In-Law
9.8	Duong Hai Van											Affiliated Person To Mr. Nguyen Huu Hung - Brother-In-Law
9.9	Duong Khanh Ha											Affiliated Person To Mr. Nguyen Huu Hung - Brother-In-Law

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10	Tran Manh Ha											Internal
10.1	Vu Thi Lien											Affiliated Person To Mr. Tran Manh Ha - Mother
10.2	Le Thi Yen											Affiliated Person To Mr. Tran Manh Ha - Wife
10.3	Tran Truong Giang											Affiliated Person To Mr. Tran Manh Ha - Child
10.4	Tran Huu Dat											Affiliated Person To Mr. Tran Manh Ha - Child
10.5	Tran Thi Mai Thanh											Affiliated Person To Mr. Tran Manh Ha - Younger Brother
10.6	Nguyen Thi Chua											Affiliated Person To Mr. Tran Manh Ha - Mother-In-Law

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10.7	Le Dong											Affiliated Person To Mr. Tran Manh Ha - Brother-In-Law
10.8	Le Phu Dung											Affiliated Person To Mr. Tran Manh Ha - Brother-In-Law
10.9	Ngo Doan Mui											Affiliated Person To Mr. Tran Manh Ha - Brother-In-Law
11	Lai Quang Trung											Internal
11.1	Pham Thanh Quynh											Affiliated Person To Mr. Lai Quang Trung - Wife
11.2	Lai Quang Duong											Affiliated Person To Mr. Lai Quang Trung - Child
11.3	Lai Anh Thu											Affiliated Person To Mr. Lai Quang Trung - Child
11.4	Lai Quang Tuan											Affiliated Person To Mr. Lai Quang Trung - Father
11.5	Ha Thi Ben											Affiliated Person To Mr. Lai Quang Trung - Mother



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11.6	Lai Quang Cuong											Affiliated Person To Mr. Lai Quang Trung - Real Brother
11.7	Lai Quang Dung											Affiliated Person To Mr. Lai Quang Trung - Younger Brother
11.8	Lai Thi Yen											Affiliated Person To Mr. Lai Quang Trung - Younger Brother
11.9	Lai Quang Phu											Affiliated Person To Mr. Lai Quang Trung - Younger Brother
11.10	Pham Thanh Trang											Affiliated Person To Mr. Lai Quang Trung - Father-In-Law
11.11	Pham Thi Minh											Affiliated Person To Mr. Lai Quang Trung - Mother-In-Law
11.12	Pham Thanh Hai											Affiliated Person To Mr. Lai Quang Trung - Sister-In-Law
12	Nguyen Tuyet Mai											Internal
12.1	Le The Anh											Affiliated Person To Ms. Nguyen Tuyet Mai - Husband

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12.2	Le Binh Minh											Affiliated Person To Ms. Nguyen Tuyet Mai - Child
12.3	Le Lam Phuc											Affiliated Person To Ms. Nguyen Tuyet Mai - Child
12.4	Nguyen Van Sang											Affiliated Person To Ms. Nguyen Tuyet Mai - Father
12.5	Nguyen Thi Than											Affiliated Person To Ms. Nguyen Tuyet Mai - Mother
12.6	Le Van Loi											Affiliated Person To Ms. Nguyen Tuyet Mai - Father-In-Law
12.7	Nguyen Thi Thuy											Affiliated Person To Ms. Nguyen Tuyet Mai - Mother-In-Law
12.8	Nguyen Thi Thu Huong											Affiliated Person To Ms. Nguyen Tuyet Mai - Sister
12.9	Nguyen Viet Chien											Affiliated Person To Ms. Nguyen Tuyet Mai - Brother-In-Law
12.10	Nguyen Thi Hong											Affiliated Person To Ms. Nguyen Tuyet Mai - Sister
12.11	Le Viet Hai											Affiliated Person To Ms. Nguyen Tuyet Mai - Sister-In-Law
12.12	Nguyen Van Nuoi											Affiliated Person To Ms. Nguyen Tuyet Mai - Sister

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12.13	Pham Thi Cam Nhung											Affiliated Person To Ms. Nguyen Tuyet Mai - Sister-In-Law
12.14	Le Hoan											Affiliated Person To Ms. Nguyen Tuyet Mai - Husband
12.15	Tran Thi Vinh Huong											Affiliated Person To Ms. Nguyen Tuyet Mai - Sister-In-Law
13	Nguyen Thanh Son											Internal
14.1	Nguyen Thi Tru											Affiliated Person To Mr. Nguyen Thanh Son - Mother
13.2	Vu Thanh Minh											Affiliated Person To Mr. Nguyen Thanh Son - Wife
13.3	Nguyen Khanh Thi											Affiliated Person To Mr. Nguyen Thanh Son - Child
13.4	Nguyen Phuc Vinh											Affiliated Person To Mr. Nguyen Thanh Son - Child
13.5	Nguyen Thi Thu Thuy											Affiliated Person To Mr. Nguyen Thanh Son - Sister



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13.6	Pham Van Viet											Affiliated Person To Mr. Nguyen Thanh Son - Brother-In-Law
13.7	Vu Van Thuyet											Affiliated Person To Mr. Nguyen Thanh Son - Father-In-Law
13.8	Nguyen Thi Nga											Affiliated Person To Mr. Nguyen Thanh Son - Mother-In-Law